

## **Waystone ETF ICAV**

An open-ended umbrella Irish collective asset- management vehicle with segregated liability between sub-funds formed in Ireland under the Irish Collective Asset-management Vehicles Act 2015 and authorised by the Central Bank as a UCITS pursuant to the Regulations  
(the "ICAV")

### **ADDITIONAL INFORMATION FOR QUALIFIED INVESTORS IN SWITZERLAND**

**Information contained herein is selective, containing specific information in relation to the ICAV. This document forms part of and should be read in conjunction with the Prospectus for the ICAV dated 15 July 2024, (the "Prospectus"). This document is for distribution in Switzerland only.**

Words and expressions defined in the Prospectus shall, unless the context otherwise requires, have the same meaning when used herein.

Dated: 23 July 2024

## **Additional Information for Qualified Investors in Switzerland**

FlexShares Listed Private Equity UCITS ETF (the "**Fund**") has not been and shall not be approved by the Swiss Financial Market Supervisory Authority (FINMA) as a foreign collective investment scheme pursuant to Article 120 of the Swiss Collective Investment Scheme Act of 23 June 2006, as amended ("CISA"). The Fund is only intended for qualified investors in Switzerland as set out under Article 10 paragraphs 3 and 3ter of the CISA, respectively professional clients as defined in Article 4 paragraphs 3 to 5 and Article 5 paragraphs 1 and 4 of the Swiss Financial Services Act of 15 June 2018, as amended ("FinSA"). Recipients of the document in Switzerland should not pass it to anyone without first consulting their legal or other appropriate professional adviser, or the Representative (as defined below).

### **1. REPRESENTATIVE**

The representative in Switzerland is REYL & Cie Ltd, Rue du Rhône 4, CH-1204 Geneva Switzerland.

### **2. PAYING AGENT**

The Swiss paying agent is Banque Cantonale de Genève, 17, quai de l'île, 1204 Geneva, Switzerland.

### **3. PLACE WHERE THE RELEVANT DOCUMENTS MAY BE OBTAINED**

The Prospectus and the Fund supplement, the key information document, Instrument of Incorporation and the annual and semi-annual reports may be requested without cost at the offices of the Swiss representative.

### **4. PAYMENT OF RETROCESSIONS AND REBATES**

#### Retrocessions

The Fund and its agents may pay retrocessions as remuneration for distribution activity in respect of Shares in Switzerland. Retrocessions are deemed to be payments paid by the Fund and its agents to eligible third parties for distribution activities in respect of Shares in Switzerland. This remuneration may be deemed payment for the following services in particular:

Sales promotions and introductions with potential clients, the organization of road shows and/or fund fairs, assistance in making applications, forwarding of subscription, conversion and redemption orders, providing investors with the fund documents, verification of identification documents and the performance of due diligence tasks as well as keeping documentary records.

Retrocessions are not deemed to be rebates even if they are ultimately passed on, in full or in part, to the investors.

Information on the receipt of retrocessions is governed by the relevant provisions of the Federal Act on Financial Services (FinSA). Thus, the recipients of the retrocessions must ensure transparent disclosure and expressly inform investors in advance, namely before the provision of the financial service or the conclusion of the contract, unsolicited and free of charge, about the type and scope of the compensation they may receive for distribution, so that investors can relinquish such compensation. If the amount cannot be determined in advance, the recipients of

the retrocessions shall inform investors of the calculation parameters and the ranges.

On request, the recipients of retrocessions must disclose the amounts they effectively received.

### Rebates

In the case of distribution activity in Switzerland, the Fund and its agents may pay, upon request, rebates directly to investors. The purpose of rebates is to reduce the fees and/or costs incurred by the investor in question. Rebates are permitted provided that (i) they are paid from fees received by the Fund and its agents and therefore do not represent an additional charge on the fund assets, (ii) they are granted on the basis of objective criteria, and (iii) all investors who meet these objective criteria and demand rebates are also granted these within the same timeframe and to the same extent.

The objective criteria for the granting of rebates by the Fund and its agents are as follows:

- the volume subscribed by the investor or the total volume they hold in the collective investment scheme or, where applicable, in the product range of the promoter;
- the amount of the fees generated by the investor;
- the investment behaviour shown by the investor (e.g. expected investment period);
- the investor's willingness to provide support in the launch phase of a collective investment scheme.

At the request of the investor, the Fund and or its agents must disclose to the investor, free of charge, the specific amounts relevant to the granted rebate.

## **5. PLACE OF PERFORMANCE AND JURISDICTION**

In respect of the Shares offered in Switzerland, the place of performance is at the registered office of the Swiss representative. The place of jurisdiction is the registered office of the Swiss representative or the registered office or place of residence of the investor.